

# 3U HOLDING AG: Release according to Article 26, Section 1 of the WpHG from 11.05.2017

## Voting Rights Announcement according to §§ 21 ff WpHG

Marburg (pta035/11.05.2017/15:10) - Release of Voting Rights Announcement

Correction of a notification of Major Holding published on 11.05.2017

### 1. Details of issuer:

3U HOLDING AG, Frauenbergstraße 31-33, 35039 Marburg, Germany

### 2. Reason for notification:

AGM 05/18/2017

### 3. Details of person subject to the notification obligation

Name: Zettler, Matthias

### 4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3

### 5. Date on which threshold was crossed or reached

10.05.2017

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation	10.69	0.00	10.69	35,314,016
Previous notification	5,01	0,00	5,01	

### 7. Notified details of the resulting situation

#### a. Voting rights attached to shares (Sec.s 21, 22 WpHG)

ISIN	absolute direct (§ 21 WpHG)	absolute indirect (§ 22 WpHG)	in % direct (§ 21 WpHG)	in % indirect (§ 22 WpHG)
DE0005167902	160,111	3,616,088	0.45	10.24
Total:		3,776,199		10.69

#### b.1. Instruments according to Sec. 25 Abs. 1 Nr. 1 WpHG

Type of instrument	Maturity / Expiration	Exercise or conversion period	Voting Rights Absolute	Voting Rights in %
Total:				

b.2 Instruments according to Sec. 25 Abs. 1 Nr. 2 WpHG

Type of instrument	Maturity / Expiration	Exercise or conversion period	Cash or physical transaction	Voting Rights Absolute	Voting Rights in %
Total:					

8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).

Name	% of voting rights if 3% or higher	% of voting rights through instruments if 5% or higher	Total of both if 5% or higher
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9. In case of proxy voting according to § 22 Abs. 3 WpHG

Date of general meeting: 18.05.2017

Holding position after general meeting: 0,45% (equals 160.111 voting rights)

10. Other useful information