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pta20200508028

Release according to article 40 para. 1 WpHG

## 3U HOLDING AG: Release according to Article 40, Section 1 of the WpHG

Marburg (pta028/08.05.2020/18:11) - Release of Voting Rights Announcement

Voting Rights Announcement

1. Details of issuer:

Name: 3U HOLDING AG  
 Legal Entity Identifier (LEI): 529900VVQ4470YJ67K26  
 Street: Frauenbergstraße 31-33  
 Postal code: 35039  
 City: Marburg, Germany

2. Reason for notification:

Authorization of proxy re Annual general Meeting

3. Details of person subject to the notification obligation

Natural person (first name, surname): Matthias Zettler  
 Date of birth: 12.11.1982

4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3

5. Date on which threshold was crossed or reached

07.05.2020

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	4.20	0.00	4.20	35,314,016
Previous notification (if applicable)	0.47		0.47	

7. Details on total positions

a. Voting rights attached to shares (Sec.s 33, 34 WpHG)

ISIN	Absolute direct (Sec. 33 WpHG)	Absolute indirect (Sec. 34 WpHG)	In % direct (Sec. 33 WpHG)	In % indirect (Sec. 34 WpHG)
DE0005167092	242,100	1,241,888	0.69	3.52
Total:		1,483,988		4.21

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument Maturity / Expiration Exercise or conversion period Voting Rights Absolute Voting Rights in %  
Total:

## b.2 Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Maturity / Expiration	Exercise or conversion period	Cash or physical transaction	Voting Rights Absolute	Voting Rights in %
Total:					

## 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)

## 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting: 20.05.2020

Holding total positions (6.) after general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
0.69%	0.00%	0.69%

## 10. Other useful information

Date

08.05.2020

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stock exchanges: regulated market in Frankfurt; free market in Dusseldorf, free market in Hamburg, free market in Munich, free market in Stuttgart; open market in Berlin, Tradegate

ISIN(s): DE0005167902 (share)

**3U**  **HOLDING**